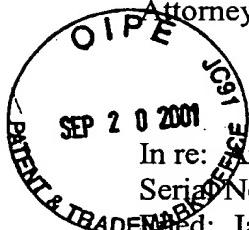


GP 215 #4  
BT  
11-08-01



Attorney's Docket No. 5577-221

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

**RECEIVED**

SEP 21 2001

Technology Center 2100

SEP 20 2001

In re: Antes, et. al.

Serial No.: 09/764,790

Filed: January 17, 2001

Group Art Unit: 2151

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
PROVIDING FAILURE RECOVERY OF NETWORK SECURE  
COMMUNICATIONS IN A CLUSTER COMPUTING ENVIRONMENT**

Date: September 18, 2001

Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No item of information contained in this Statement was cited from a foreign patent office in a counterpart foreign application.

Respectfully submitted,

Timothy J. O'Sullivan  
Registration No. 35,632

Customer Number:



20792

PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on September 18, 2001.

Traci A. Brown

Date of Signature: September 18, 2001

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 5577-221			Serial No. 09/764,790
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)				Applicants: Antes, et al. Filing Date: January 17, 2001      Group 2151			
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
						Technology Center 2100	RECEIVED SEP 21 2001
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
ES	1	Martin W. Murhammer, et al. <i>A Comprehensive Guide to Virtual Private Networks, Volume III: Cross-Platform Key and Policy Management.</i> 1999, Chapters 1-3, pp. 1-71.					

EXAMINER \_\_\_\_\_

EXAMINER \_\_\_\_\_

**DATE CONSIDERED**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.